

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the Application of: **Murray L. Finebaum, et al.** Examiner: **Harish T. Dass**

Application No: **10/001,921** Group Art Unit: **3692**

Filed: **November 15, 2001** Confirmation No.: **8945**

For: **METHOD AND APPARATUS FOR TRADING BONDS**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**PRELIMINARY AMENDMENT**

Dear Sir:

Applicants submit the following amendment and remarks for consideration.

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks** begin on page 14 of this paper.

**Conclusion** begins on page 25 of this paper

**Authorization** begins on page 28 of this paper.